| IPE | | | | | | | | | |
|---|---------------------|-------------|----------------|--|--|--|--|--|--|
| TRANSMITTAL OF | Docket No. 14133 | | | | | | | | |
| In Re Application Of the Hideo Shimazu | | | | | | | | | |
| Serial No. | Filing Date | Examiner | Group Art Unit | | | | | | |
| 09/730,633 | December 6, 2000 | Unassigned | 2614 | | | | | | |
| Title: PROGRAMMABLE SELECTIVE BROADCASTING RECEPTION SYSTEM, BROADCASTING | | | | | | | | | |
| APPARATUS, AND RECEPTION APPARATUS RECEIVED | | | | | | | | | |
| | | dd-cas ta: | AUG 2 7 2003 | | | | | | |
| Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 | | | | | | | | | |
| | 37 C | CFR 1.97(b) | | | | | | | |
| 1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. | | | | | | | | | |
| | 37 CFR 1.97(c) | | | | | | | | |
| 2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: | | | | | | | | | |
| ☐ the statement specified in 37 CFR 1.97(e); | | | | | | | | | |
| OR | | | | | | | | | |
| ☐ the fee set forth in 37 CFR 1.17(p). | | | | | | | | | |
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| TRANSMITTAL OI | Docket No. 14133 | | | | | | | |
| In Re-Application Hideo Shimazu | | | | | | | | |
| Serial No. | Filing Date | Examiner | Group Art Unit | | | | | |
| 09/730,633 | December 6, 2000 | Unassigned | 2614 | | | | | |
| PROGRAMMABI APPARATUS, AND REC | NG RECEPTION SYSTEM, BROAI | RECEIVED AUG 2 7 2003 | | | | | | |
| | Payr | ment of Fee | echnology Center 2600 | | | | | |
| | (Only complete if Applicant ele | ects to pay the fee set forth in 37 CFR 1.1 | | | | | | |
| as described belo Charge th Credit an Charge a Certificate of | ne amount of y overpayment. In y additional fee required. Fransmission by Facsimile* ent and authorization to charge deposit mile transmitted to the United States | Certificate of Mailing by First Class Mail I certify that this document and fee is being deposited on August 22, 2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. | | | | | | |
| Typed or Printed N | Name of Person Signing Certificate | Paul J. Esatto, Jr. Typed or Printed Name | | | | | | |
| *This certificate may only be used if paying by deposit account. Dated: August 22, 2003 Signature Paul J. Esatto, Jr. Registration No.: 30,749 SCULLY, SCOTT, MURPHY, & PRESSER 400 Garden City Plaza Garden City, NY 11530 (516) 742-4343 PJE: ahs/jap | | | | | | | | |
| CC: | | | ! | | | | | |



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Hideo Shimazu

Examiner:

Unassigned

Serial No.:

09/730,633

Art Unit:

2614

Filed:

December 6, 2000

Docket:

14133

For: PROGRAMMABLE SELECTIVE

BROADCASTING RECEPTION SYSTEM,

BROADCASTING APPARATUS, AND RECEPTION

APPARATUS

Dated:

August 22, 2003

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AUG 2 7 2003

Technology Center 2600

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

- 1. United States Patent No. 5,664,948, issued to Dimitriadis, et al., dated September 9, 1997;
- 2. United States Patent No. 5,565,909, issued to Thibadeau, et al., dated October 15, 1996; and

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 22, 2003.

Dated: August 22, 2003

Paul J. Esatto, Jr.

3. United States Patent No. 5,036,537, issued to Jeffers, et al., dated July 30, 1991.

The references were cited in a Search Report received from the European Patent Office, dated June 25, 2003. Applicant is submitting copies of the above-cited references, together with a copy of the Search Report. The relevance of the above-identified references has been described in the Search Report.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R§1.97(b), no statement or fee is required.

Respectfully submitted,

Paul J. Esatto, Jr.

Registration No. 30,749

Scully, Scott, Murphy & Presser 400 Garden City Plaza Garden City, New York 11530 (516) 742-4343

PJE:ahs/jap

| IPE | | | Docket Number (Optional) 14133 | | Application Number 09/730,633 | | | | |
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| INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) Applicant(s) Hideo Shimazu | | | | | | | | | |
| AUG 2 5 2003 E | | | Filing Date December 6, 200 | | Group Art Unit 2614 | | | | |
| TAY B THAT | SEMARY. | 5) | | U.S. PAT | ENT DOCUMENTS | | | | |
| *EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE | |
| | | 5,664,948 | 9/9/97 | Dimitriadis, et al. | | | | | |
| | | 5,565,909 | 10/15/96 | Thibadeau, et al. | | | | | |
| | | 5,036,537 | 7/30/91 | Jeffers, | et al. | | | | |
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| | | | | OTHER | DOCUMENTS (Including A | uthor, Title, D | ate, Pertinent Pa | iges, Etc.) | |
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| EXAMINER | | | DATE CONSIDERED | | | | | | |
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